



Phoebe E. Pogson
Phoebe E. Pogson
PATENT
~~11/22/03~~

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

November 20, 2003
Date

Phoebe E. Pogson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

Appl. No. : 09/616,794

Confirmation No. : 9185

Applicant : Jason B. Elledge

DEC 02 2003

Filed : July 14, 2000

Attorney Docket No.: 500188.02 TECnNOLoGY CENTER R3700

Art Unit : 3723

Customer No. : 27,076

Examiner : Daniel G. Shanley

Title : APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT
PLANARIZING MACHINES IN MECHANICAL OR CHEMICAL-MECHANICAL
PLANARIZATION OF MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES
AND METHODS FOR MAKING AND USING SAME

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. Copies of the cited foreign patent and non-patent literature references, as required under 37 C.F.R. § 1.98(a)(2), are enclosed. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is

believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 09/833,029, describing and claiming subject matter that is similar to the subject matter of this application, was filed on April, 10, 2001, published as U.S. Publication No. US-2001-0044261-A1. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

A fee of \$180 is submitted in accordance with 37 C.F.R. § 1.97(c). The Commissioner is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

DORSEY & WHITNEY LLP



Mark W. Roberts, Ph.D.
Registration No. 46,160

MWR:pep

Enclosures:

- Postcard
- Check
- Fee Transmittal (+ copy)
- Copy of Information Disclosure Statement
- Form PTO-1449
- Cited References (6)

1420 Fifth Avenue, Suite 3400
Seattle, WA 98101
Telephone (206) 903-8800
Facsimile (206) 903-8820

| | | | |
|---|--|----------------------------------|-------------------------------|
| FORM PTQ-1449 (REV.7-80) | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO. 500188.02 | APPLICATION NO. 09/616,794 |
| O I P E INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i> | | APPLICANT(S) Jason B. Elledge | |
| NOV 24 2003 | | FILING DATE July 14, 2000 | GROUP ART UNIT 3723 |

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-------------------|-----------------|----------|-----------------|-------|----------|----------------------------|
| AA | 6,254,459 B1 | 07/03/01 | Bajaj et al. | 451 | 41 | |
| AB | 6,454,630 B1 | 09/24/02 | Tolles | 451 | 6 | |
| AC | 6,458,014 B1 | 10/01/02 | Ihsikawa et al. | 451 | 6 | |
| AD | 6,607,422 B1 | 08/19/03 | Swedek et al. | 451 | 6 | |
| AE | 6,612,901 B1 | 09/02/03 | Agarwal | 451 | 6 | |
| AF | 20010044261 A1 | 11/22/01 | Elledge | 451 | 41 | |
| AG | | | | | | RECEIVED |
| AH | | | | | | DEC 02 2003 |
| AI | | | | | | TECHNOLOGY CENTER R3700 |
| AJ | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|--|----|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | AK | | | | | | | |
| | AL | | | | | | | |
| | AM | | | | | | | |
| | AN | | | | | | | |
| | AO | | | | | | | |

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

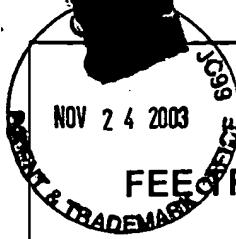
| | |
|----|--|
| AP | |
| AQ | |
| AR | |

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

3793



**FEES TRANSMITTAL SHEET
(FOR FY 2004)**

NOV 24 2003

Complete if Known

| | |
|----------------------|-------------------|
| Application No. | 09/616,794 |
| Filing Date | July 14, 2000 |
| First Named Inventor | Jason B. Elledge |
| Group Art Unit | 3723 |
| Examiner | Daniel G. Shanley |
| Atty. Docket Number | 500188.02 |

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DEC 02 2003

TECHNOLOGY CENTER R3700

METHOD OF PAYMENT (Check One)

1. The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. Check Enclosed

FEES CALCULATION**1. BASIC FILING FEE**

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|----------|----------|----------|----------|---|
| 1001 | 770 | 2001 | 385 | <input type="checkbox"/> Utility Filing Fee |
| 1002 | 340 | 2002 | 170 | <input type="checkbox"/> Design Filing Fee |
| 1003 | 530 | 2003 | 265 | <input type="checkbox"/> Plant Filing Fee |
| 1004 | 770 | 2004 | 385 | <input type="checkbox"/> Reissue Filing Fee |
| 1005 | 160 | 2005 | 80 | <input type="checkbox"/> Provisional Filing Fee |

Subtotal (1) \$ 0

2. EXTRA CLAIM FEES

| Current Claims | Prior | Extra | Fee | Fee Paid |
|---------------------------|-------|-------|------|----------|
| Total | - 20 | = | x \$ | \$ 0 |
| Ind. | - 3 | = | x \$ | \$ 0 |
| Multiple Dependent Claims | | x \$ | | \$ |

Subtotal (2) \$ 0

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|----------|----------|----------|----------|---|
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent Claim |
| 1204 | 86 | 2204 | 43 | Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | Reissue claims in excess of 20 and over original patent |

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee paid |
|--------------|--------------|----------|----------|--|----------|
| 1051 | 130 | 2051 | 65 | Surcharge - Late filing fee or oath | \$ |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | \$ |
| 1053 | 130 | 1053 | 130 | Non-English specification | \$ |
| 1812 | 2,520 | 1812 | 2,520 | For Filing a Request for ex parte Reexamination | \$ |
| 1804 | 920 | 1804 | 920 | Requesting publication of SIR prior to Examiner action | \$ |
| 1805 | 1,840 | 1805 | 1,840 | Requesting publication of SIR after Examiner action | \$ |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | \$ |
| 1252 | 420 | 2252 | 210 | Extension for reply within 2 nd month | \$ |
| 1253 | 950 | 2253 | 475 | Extension for reply within 3 rd month | \$ |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within 4 th month | \$ |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within 5 th month | \$ |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | \$ |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | \$ |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | \$ |
| 1814 | 110 | 2814 | 55 | Terminal Disclaimer Fee | \$ |
| 1452 | 110 | 2452 | 55 | Petition to revive – unavoidable | \$ |
| 1453 | 1,330 | 2453 | 665 | Petition to revive – unintentional | \$ |
| 1501 | 1,330 | 2501 | 665 | Utility/Reissue issue fee | \$ |
| 1502 | 480 | 2502 | 240 | Design issue fee | \$ |
| 1503 | 640 | 2503 | 320 | Plant issue fee | \$ |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | \$ |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | \$ |
| 1806 | 180 | 1806 | 180 | Submission of IDS | \$ 180 |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | \$ |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | \$ |

Other fee (specify)

Subtotal (3) \$ 180

Total Amount of Payment: \$ 180

Submitted by:

Name: Mark W. Roberts, Ph.D.

Reg. No.: 46,160

Telephone: (206) 903-8800

Signature:

Date: 11-20-03